

microRNAs reveal the interrelationships of hagfish, lampreys, and gnathostomes and the nature of the ancestral vertebrate

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Hagfish and lampreys are the only living representatives of the jawless vertebrates (agnathans), and compared with jawed vertebrates (gnathostomes), they provide insight into the embryology, genomics, and body plan of the ancestral vertebrate. However, this insight has been obscured by controversy over their interrelationships. Morphological cladistic analyses have identified lampreys and gnathostomes as closest relatives, whereas molecular phylogenetic studies recover a monophyletic Cyclostomata (hagfish and lampreys as closest relatives). Here, we show through deep sequencing of small RNA libraries, coupled with genomic surveys, that Cyclostomata is monophyletic: hagfish and lampreys share 4 unique microRNA families, 15 unique paralogues of more primitive microRNA families, and 22 unique substitutions to the mature gene products. Reanalysis of morphological data reveals that support for cyclostome paraphyly was based largely on incorrect character coding, and a revised dataset is not decisive on the mono- vs. paraphyly of cyclostomes. Furthermore, we show fundamental conservation of microRNA expression patterns among lamprey, hagfish, and gnathostome organs, implying that the role of microRNAs within specific organs is coincident with their appearance within the genome and is conserved through time. Together, these data support the monophyly of cyclostomes and suggest that the last common ancestor of all living vertebrates was a more complex organism than conventionally accepted by comparative morphologists and developmental biologists.

complexity | cyclostomata | evolution | organ | homology

The origin and early evolution of vertebrates have been a focus of molecular and organismal evolutionary biology because of the fundamental events that attended this formative episode of our own evolutionary history over one-half billion years ago (1). However, attempts to integrate these perspectives have been stymied by the different phylogenetic perspectives afforded by molecular and morphological datasets. Molecular datasets, incorporating protein-coding genes, ribosomal RNA genes, and/or mitochondrial genes (2–21), invariably find that the jawless hagfish and lampreys constitute a clade, Cyclostomata (Fig. 1, on the left). In contrast, morphological datasets (22–36) have supported a closer relationship between lampreys and gnathostomes, rendering Cyclostomata paraphyletic (Fig. 1, on the right) and hagfish not vertebrates but mere craniates (33).

Attempts have been made to reconcile these two views: a number of morphological characters have been identified that support the monophyly of cyclostomes (37, 38), but they have been overwhelmed by a seemingly far greater number of characters supporting cyclostome paraphyly (30, 31). Indeed, an analysis of combined morphological and molecular datasets has suggested that the signal of cyclostome paraphyly in morphological datasets is stronger than the signal for monophyly from molecular data (39). The interrelationships of hagfish, lampreys, and gnathostomes thus remain uncertain, and this has become a classic ex-

ample of phylogenetic conflict between morphological and molecular data (7, 39). If morphological phylogenies are correct, hagfish provide an experimental model for investigating the evolutionary assembly of the vertebrate body plan shared by lampreys and gnathostomes. Alternatively, if the molecular phylogenies are correct, then it would indicate that the shared similarities of lampreys and gnathostomes are convergent or that these characters are absent through loss in the hagfish lineage. These would represent the most extraordinary examples of convergence or degeneracy, respectively, in vertebrate evolutionary history (18, 35).

We attempted to resolve the interrelationships of hagfish, lampreys, and gnathostomes through analysis of their microRNA (miRNA) repertoire. miRNAs are small, noncoding regulatory genes implicated in the control of cellular differentiation and homeostasis and as such, might be involved in the evolution of complexity (40–42). Because ancient miRNAs show a level of sequence conservation exceeding that of ribosomal DNA (43), it is possible to discern the evolutionary origins of miRNA families at even the deepest levels of animal phylogeny (43, 44). The rarity with which ancient miRNAs were lost within most evolutionary lineages, coupled with the continuous acquisition of miRNAs through geologic time in all metazoan lineages examined to date, makes miRNAs one of the most useful classes of characters in phylogenetics (45). Thus, miRNAs can be used to discern the interrelationships among the major vertebrate lineages and simultaneously, lend insight into the origin of vertebrate characteristics.

We constructed small RNA libraries from total RNA (*Meth-**ods*) from ammocoete larvae of the brook lamprey *Lampetra planeri*, from a single adult individual of the Atlantic hagfish *Myxine glutinosa*, from the catshark *Scyliorhinus canicula*, and for nine individually processed organs/regions (brain, gills, gut, heart, kidney, liver, mouth, muscle, and skin) from a single adult individual of the sea lamprey *Petromyzon marinus*. Using a combination of high-throughput 454 pyrosequencing and Illumina technology, we identified miRNAs from each library and found that shared gains of miRNAs support the monophyly of cyclostomes (lamprey and hagfish). We also revised, expanded, and reanalyzed an extensive morphological dataset previously found to support cyclostome paraphyly (23) and show that cyclostome monophyly is just as likely given these data. In addition, profiling

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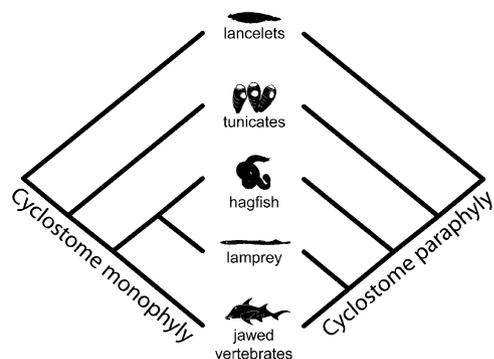


Fig. 1. The two competing hypotheses. Either lampreys are more closely related to hagfish than they are to gnathostomes, making Cyclostomata monophyletic (on the left), or lampreys are more closely related to gnathostomes than they are to hagfish, making Cyclostomata paraphyletic (on the right).

the miRNA expression within nine organs of *P. marinus* shows conservation with known expression profiles in homologous organs across vertebrates. Our data suggest that the role of miRNAs within specific organs is coincident with their appearance within the genome, and thus, miRNAs may have played a role in the acquisition of organismal complexity in vertebrates.

Results and Discussion

miRNAs Shared Between Lampreys and Hagfish Support Cyclostome Monophyly. Derivative cDNA libraries from the brook lamprey *L. planeri*, the sea lamprey *P. marinus*, and the Atlantic hagfish *M. glutinosa* were sequenced using high-throughput 454 pyrosequencing (Methods), yielding 422,122 (59,759 nonredundant) parsed high-quality reads. Additionally, we sequenced small RNAs from the catshark *Scyliorhinus canicula* using Illumina technology, yielding 333,294 (127,015 nonredundant) parsed high-quality reads. The resulting reads from all four taxa were then interrogated using miRMiner (43) to identify known and unknown miRNAs (Dataset S1).

Because the genome traces of the sea lamprey *P. marinus* are publicly available (<http://www.ncbi.nlm.nih.gov/genome/prj?term=petromyzon>), we first focused on elucidating the miRNA repertoire of this species. We identified 245 miRNA genes in *P. marinus*, including one family lost in gnathostomes (miR-315) and a second family lost in osteichthyans (mir-281) (Dataset S1). An additional 24 miRNA genes are inferred to be present in the genome of *P. marinus*, because, although the genes could not be located in the trace archives, reads of these phylogenetically conserved miRNAs were discovered in our libraries (e.g., miR-31, -34, -122, etc.) (Dataset S1). Of the 269 genes present in *P. marinus*, 202 are conserved in other animals, with 21 shared only with the brook lamprey, *L. planeri* (Dataset S1).

Lampreys lack the bilaterian miRNAs miR-71, miR-242, miR-252, and miR-278, as do urochordates and all other vertebrates examined to date. However, very few miRNA genes have been lost within the lamprey lineage itself: only a single miRNA family seems to have been lost in *P. marinus* (miR-214), because reads were detected in *L. planeri* (Dataset S1); however, reads were not detected in *P. marinus*, and the gene was not located in the trace archives. Conversely, we failed to detect transcripts of only two miRNA families in *L. planeri*—the lowly expressed miRNAs (Dataset S1) miR-202 and miR-875 (although we did not examine reads from an adult individual, and no genomic sequence for this species is currently available to confirm a true absence). Therefore, these two lamprey species share a miRNA complement of at least 200 genes and between them, have together lost no more than three miRNA families total since they last shared a common ancestor some time in the last 10–40 million y (10).

To determine the phylogenetic position of hagfish, we analyzed the conserved miRNA complement of *M. glutinosa*. Of the 46 vertebrate-specific miRNA families shared between lamprey and gnathostomes (Fig. 2), we detected all but two in our hagfish library: miR-1329 (which is expressed exclusively in the lamprey kidney) (Dataset S1) and miR-4541, an miRNA family found thus far only in the two sharks and the two lamprey species (Dataset S1). However, the hagfish shares four unique miRNA families with the lampreys that are not found or expressed in gnathostomes or in any other animal species investigated to date, miR-4542, miR-4543, miR-4544, and miR-4545 (Dataset S1 and Fig. S1), and a phylogenetic analysis based on the presence and absence of miRNA families (Dataset S2) supports the monophyly of the cyclostomes (Fig. 2 and Fig. S2).

Further evidence of cyclostome monophyly is found in the paralogy group relations within miRNA families (46). Fifteen paralogues of previously described miRNA families (Fig. 3 and Dataset S1) are shared by the hagfish and lampreys to the exclusion of gnathostomes—we did not detect a single paralogue supporting cyclostome paraphyly. Finally, we examined the mature sequences of each miRNA to ask if polarizable nucleotide substitutions had occurred that supported either cyclostome monophyly or paraphyly (or some other set of relations). We did not find any nucleotide substitutions in the mature sequence of any vertebrate miRNA that is shared between gnathostomes and lampreys to the exclusion of hagfish (or between hagfish and gnathostomes to the exclusion of lamprey). However, we did find 22 derived nucleotide substitutions in the mature sequences of 18 miRNAs exclusive to the three cyclostome taxa investigated (Fig. 3 and Dataset S1). Thus, the acquisition of miRNA families, miRNA genes, and the nucleotide substitution patterns of conserved miRNA genes all support cyclostome monophyly.

Phenotypic Cladistic Data Do Not Distinguish Between Cyclostome Monophyly vs. Paraphyly.

The phylogenetic distribution of vertebrate miRNAs corroborates molecular sequence data in supporting cyclostome monophyly (2–21), contradicting what has been considered an equally strong signal from phenotypic datasets supporting cyclostome paraphyly (22–36). To determine the source of this discordance, we augmented a phenotypic dataset based on the nervous system (23), with characters representative of other organ systems recoded from observations and the primary literature rather than recycled from previous analyses (SI Text and Dataset S3). In so doing, we considered all characters that have been marshaled previously in support of cyclostome monophyly or paraphyly. We find that, although the revised dataset (SI Text) marginally favors cyclostome paraphyly (monophyly is one step longer in a tree of 237 steps) (Fig. S3), Templeton (47), Kishino–Hasegawa (48), and approximate two-tailed Shimodaira–Hasegawa (49) tests reveal that the dataset is indecisive on this question (Templeton: $P = 0.8415$; K–H: $P = 0.8421$; approximate S–H is one-half P of K–H) (49). This is because much of the evidence traditionally interpreted as supporting cyclostome paraphyly has been based on spurious character design. For example, many of the characters are inapplicable to the outgroup, making it impossible to discriminate between the primary or secondary absence in hagfish of characters otherwise found only in lampreys and gnathostomes (e.g., the proximity of the atrium and ventricle of the heart, radial muscles, and retinal synaptic ribbons). In addition, some characters have been coded as absent in hagfish when data have merely been lacking (e.g., heart response to catecholamines, pituitary control of gametogenesis, and sexual dimorphism). Finally, the uncritical recycling of characters and their codings between generations of analyses has resulted in the repeated use of obsolete data (50). For instance, similarities in the immune system of lampreys and gnathostomes have been exploited to draw a distinction from hagfish (30–35, 51). However, it has been long established that lampreys

for small RNAs using the Illumina sequencing platform and analyzed using miRMiner as described (43). All genomic inquiries for miRNAs in *P. marinus* and *Callorhynchus milii* (elephant shark) were made through National Center for Biotechnology Information using the available genomic traces. All alignments and sequence analyses were performed using MacVector (v. 10.0.2). Secondary structures of precursor miRNA transcripts were predicted using mFold (60).

Morphological Analysis. The phenotypic dataset was coded directly from the primary literature and from direct observation of anatomy (*SI Text*). We designed and coded characters using a contingent coding strategy, because it is the only approach that is theoretically and operationally valid in instances, as here, where many of the characters are inapplicable to the outgroup (61). We restricted our analyses to a parsimony-based approach, because phenotypic support for hagfish–lamprey–gnathostome relationships has always

been debated using this method of phylogenetic inference. The cladistic parsimony analyses, Bremer support index calculations, and Templeton and Kishino–Hasegawa tests were performed in PAUP*4.0b10 running on Mac OS9 within a Sheepshaver 2.3 emulator on an Intel MacBook.

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Supporting Information

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SI Text

Contents. This text contains the descriptions of characters used in the phenotypic cladistic data matrix. The characters are based on those used previously in morphological cladistic analyses or otherwise highlighted as potential synapomorphies of lampreys and gnathostomes, lampreys and hagfishes, or hagfishes and gnathostomes. We did not include characters used solely to resolve the phylogenetic position of the extinct jawless ostracoderms unless they have a bearing on the interrelationships of living jawless and jawed vertebrates. Practically, the character matrix was based on the recent revision of nervous system and neural characters compiled by Khonsari et al. (1), and further description of the characters can be found there; our modifications to character definition and coding are noted and justified. The remaining characters were identified through review of previous phylogenetic analyses (2–20) but recoded from the primary literature and through primary observation.

We deleted or refined characters for the following reasons.

No Persistent Pronephros in Adult. It is identified as a character supporting cyclostome paraphyly by Løvtrup (2) and Janvier (19) but rejected as a character by Forey (13) and Schaeffer and Thomson (10), because the alternative presence state is a hagfish autapomorphy and therefore, is not phylogenetically informative.

No Accessory Hearts. It is identified as a character supporting cyclostome paraphyly by Løvtrup (2) and Janvier (19) but rejected as a character by Forey (13) and Schaeffer and Thomson (10), because the alternative presence state is a hagfish autapomorphy and therefore, is not phylogenetically informative.

Single Cuvierian Duct. It is identified as a character supporting cyclostome monophyly (18), contrasting with the paired Cuvierian ducts in extant gnathostomes. However, the conditions in hagfish and lampreys differ, with only a vestige of the right duct in the adult hagfish (21) and the left duct atrophying at metamorphosis in the lamprey (22).

Absence of a Unique Dermatan Sulfate. It is identified as a character supporting cyclostome paraphyly by Løvtrup (2) and Janvier (19) but rejected as a character by Forey (13) and Schaeffer and Thomson (10), because the alternative presence state is a hagfish autapomorphy and therefore, is not phylogenetically informative.

No Naso-Pharyngeal Duct. It is identified as a character supporting cyclostome paraphyly by Løvtrup (2) and Janvier (19) but rejected as a character by Forey (13) and Schaeffer and Thomson (10), because the alternative presence state is a hagfish autapomorphy and therefore, is not phylogenetically informative.

Blood Volume That Had Been Used in a Number of Previous Analyses of Hagfish, Lamprey, and Gnathostome Relations. This character has typically been expressed as blood volume relative to body volume (3–5). However, blood volume is always normalized to body weight. We could find no data for *Polypterus* or *Coelacanth*, but hagfish (23) are 13.8 mL 100 g⁻¹, lampreys (24) are 10.9–6.5 mL 100 g⁻¹, sharks (25, 26) are in the range 5–7 mL 100 g⁻¹, *Neoceratodus* (27) is 5.1 ± 0.3 mL 100 g⁻¹, and actinopterygians are in the range from 3.8 to 1.8 mL 100 g⁻¹, with nonteleost actinopterygians (28) (which we take as a proxy for *Polypterus*) range 3.0–3.8 mL 100 g⁻¹. Although there seems to be a general trend, there is no clear division in the range that could be used as character states useful in this context.

Haemoglobins with Low O₂ Affinity and Significant Bohr Effect (Absent = 0, Present = 1). The point of this character has been that hagfish hemoglobin has low affinity for O₂ and therefore, shows little Bohr effect (29), whereas all other vertebrates, including lampreys, exhibit a strong Bohr effect (29–33). However, very little is known concerning hemoglobin in cephalochordates (34) or tunicates (35), and furthermore, although lampreys exhibit a Bohr effect comparable with gnathostomes, they achieve this, like hagfish, through pH-driven aggregation of their hemoglobin monomers into dimers or tetramers rather than through proton binding of oxygen to stable hemoglobin tetramers, which is the condition in gnathostomes (30, 36). Hence, it seems that the protein structure of the hemoglobins in vertebrates supports monophyly, rather than paraphyly, of cyclostomes—although this remains contingent on a dearth of knowledge of the hemoglobins in the invertebrate chordates.

Sexual Dimorphism (Absent = 0, Present = 1). This is not as clear cut a character as it has been portrayed. To be sure, lampreys and gnathostomes exhibit sexual dimorphism, and sexual dimorphism is also apparent in cephalochordates (37); its absence in solitary tunicates reflects the fact that they produce both male and female gametes, and although some are self-sterile, as in *Ciona intestinalis*, most are hermaphroditic, as in *C. savignyi* (38). So little is known concerning reproduction in hagfishes (39, 40) that it is not appropriate to conclude that they do not exhibit sexual dimorphism. Indeed, there is some evidence that, at sexual maturity, females are slightly longer than males (41).

Gonads (Paired = 0, Unpaired = 1). The general condition in chordates is paired gonads, seen in *Branchiostoma* and the vast majority of vertebrates. Hagfish and lampreys possess just a single gonad, and hence, this character has been proposed as a cyclostome synapomorphy (18). However, this condition is achieved through fusion of gonads in lampreys and failed development of a gonad in hagfishes, and furthermore, this condition is achieved in many other vertebrates by the same means.

High Metabolic Rate (Absent = 0, Present = 1). In terms of oxygen consumption, hagfishes (42), lungfishes (43), and *Latimeria* (44) have a significantly lower metabolic rate than *Branchiostoma* (45), *Ciona* (46), lampreys (47), dogfish (48), and *Ambystoma* (49) (there seems to be no data for *Polypterus*). However, the nature of comparison is dubious considering the fact that the comparisons are made among organisms that differ in mass by several orders of magnitude. It is perhaps for this reason that there is no phylogenetic signal in the data, and for this reason, we exclude the character.

Condensed and Discrete Pancreas (Absent = 0, Present = 1). This character has previously been used to draw a distinction between the condensed vs. diffuse endocrine pancreas in lampreys and hagfish, respectively (3–5, 12, 19). The distinction between hagfishes and lampreys is one of degree (13), and indeed, lampreys are polymorphic for this character, both ontogenetically and systematically (50). Rather, hagfishes and lampreys are more similar to one another than to gnathostomes in that there is a complete separation of their endocrine pancreas (islet organ) and their exocrine pancreases. The endocrine and exocrine pancreas are coassociated in crown gnathostomes (50). In *Branchiostoma* and *Ciona*, there is no diverticulum, as there is in hagfishes, lampreys, and gnathostomes, only dispersed insulin-secreting cells in the

walls of the gastrointestinal tract (51, 52). This constitutes the basis for a replacement character.

High Proportion of Serine and Threonine Collagen (Absent = 0, Present = 1). This character was originally codified by Hardisty (18), who drew a distinction between hagfishes vs. lampreys and gnathostomes on similarities in collagen proteins inferred from the proportion of proline vs. serine and threonine; it was perpetuated by Janvier (19), Forey (13), Donoghue et al. (4), and Gess et al. (3). However, there is no longer a need to infer the similarities and differences between hagfish, lamprey, and gnathostome collagen proteins, because these can be determined directly from their amino acid sequences. These show that hagfish, like lampreys and gnathostomes, possess members of both the Col1 and Col2 paralogy groups with Clade A of fibrillar collagens, and furthermore, within these paralogy groups, hagfish and lamprey proteins comprise a clade to the exclusion of gnathostome proteins (53). Higher proportions of proline in lampreys and gnathostomes than in hagfish must, therefore, represent convergence or secondary reversal, although, of course, the original comparison always lacked outgroup constraint. This vertebrate synapomorphy has become a molecular character, and therefore, we exclude the character on this basis.

Lactate Dehydrogenase 5 (Absent = 0, Present = 1). Hardisty (18) drew a distinction between lampreys vs. hagfishes and gnathostomes on the premise that lampreys have just a single lactate dehydrogenase (LDH) isozyme, whereas hagfishes have two, compatible with the gnathostome condition in which there are two gene loci coding for the A and B chains of LDH (18). Donoghue et al. (4) incorrectly coded LDH-5 as absent in hagfish and present in lampreys, and this coding error was perpetuated by Gess et al. (3). However, paralogy relations between LDHA and LDHB clearly indicate duplication from a single LDH gene after the divergence of tunicates from vertebrates (54). Thus, it is clear that lampreys have secondarily lost an LDHA-encoding gene, and thus, this character has no discriminatory power in resolving the relationships among hagfish, lampreys, and gnathostomes. Because of the problem of coding LDH5 as present in lampreys, albeit secondarily lost, we have excluded this vertebrate/craniata synapomorphy a priori.

Typhlosole in Intestine. This character was originally codified as the presence of a spiral intestine by Løvtrup (2) and developed as spiral intestine (or spiral fold intestine) by Janvier (12) and subsequently, typhlosole in intestine by Forey (19), Janvier (9), Donoghue et al. (4), and Gess et al. (3). The character is based on the premise of homology between the spiral valve in the intestine of many gnathostomes and the typhlosole of lampreys. This proposition of homology has been criticized, because the spiral turns of the spiral valve result from torsion of the entire gut in gnathostomes, whereas the typhlosole of lampreys is confined to the mucosa (55); furthermore, hagfish also possess a typhlosole manifest as permanent zig-zag ridges in the mucosa (56). The presence of a typhlosole in *Ciona* (57) indicates that this is not a cyclostome synapomorphy. It is, thus, phylogenetically uninformative, and the character is excluded a priori for this reason.

Inner Ear Hair Cells with Stereocilia Only. Khonsari et al. (1) codified this character to draw out the distinction between lampreys and gnathostomes, which have only stereocilia in their inner ears, and hagfish, which have both stereocilia and a second class of hair cells where there is a long central cilium surrounded by much shorter cilia. However, the presence of this second class of hair cells in hagfish is clearly an autapomorphy and not, therefore, of phylogenetic significance. Given that hagfish, lampreys, and gnathostomes all possess inner ears that contain stereociliae and the outgroup lacks both inner ears and stereocilia, the character is uninformative

concerning the phylogenetic relations of hagfish, lampreys, and gnathostomes, and therefore, we exclude it on that basis.

Character Descriptions

1. Neurulation by fusion of neural plate folds (absent = 0, present = 1).
2. Neural crest (absent = 0, present = 1).
3. Ectodermal nasal placode (absent = 0, present = 1).
4. Nasohypophyseal duct (absent = 0, present = 1).
5. Paired nasal placode (absent = 0, present = 1). Khonsari et al. (1), incorrectly coded lampreys as possessing paired nasal placodes (58, 59). The character is applicable to the outgroup, because both *Branchiostoma* (60) and *Ciona* (61) are considered to possess an olfactory placode.
6. Posterior nostrils (absent = 0, present = 1).
7. Extrabuccal posterior nostrils or posterior nostril lateral to the maxillary ramus of the trigeminal nerve (absent = 0, present = 1).
8. Olfactory bulbs (absent = 0, present = 1).
9. Pedunculated olfactory bulbs (absent = 0, present = 1).
10. Five concentric cellular layers in the olfactory bulbs (absent = 0, present = 1).
11. Terminal nerve (absent = 0, present = 1).
12. Single main olfactory organ (absent = 0, present = 1).
13. Two eyes (absent = 0, present = 1).
14. Layers of photoreceptors in the visual organ (absent = 0, present = 1).
15. Lens (absent = 0, present = 1). Hagfish lack a lens, but a lens placode occurs in development (62, 63).
16. Optic chiasma (absent = 0, present = 1). This character is inapplicable for *Branchiostoma* and *Ciona*, because the chiasma can only be present when there are paired eyes.
17. Optic chiasma externally visible (absent = 0, present = 1).
18. Retina (absent = 0, present = 1).
19. Retinal epithelium pigmentation (absent = 0, present = 1). The absence of pigmentation of retinal epithelium in hagfish has been related to the lens placode that does not develop a lens (character 15) (62).
20. Synaptic ribbons in retina (absent = 0, present = 1).
21. Lateral line system (absent = 0, present = 1).
22. Six lateral line placodes—anterodorsal, anteroventral, and supratemporal lateral line nerves (absent = 0, present = 1).
23. Recurrent ramus of the anterior lateral line nerve (absent = 0, present = 1).
24. Spiracular organs (absent = 0, present = 1).
25. Lateral line mechanoreceptors with stair-stepped microvilli (absent = 0, present = 1).
26. Lateral line neuromast cupulae (absent = 0, present = 1).
27. Internal taste buds (absent = 0, present = 1).
28. Facialis innervation of taste buds (absent = 0, present = 1).
29. Chemosensory organs innervated only by cranial nerves (absent = 0, present = 1).
30. Electrorceptors (absent = 0, present = 1). Incorrectly coded in Khonsari et al. (1, 10, 13, 64).
31. Numerous electroreceptive regions on epithelium (absent = 0, present = 1).
32. External opening of the endolymphatic duct (absent = 0, present = 1).
33. Blind-ended endolymphatic duct (absent = 0, present = 1).
34. Semicircular canals (absent = 0, present = 1).
35. Vertical semicircular canals (absent = 0, present = 1). The coding for hagfish has been debated extensively, and there remains a view that, because there are two sensory ampullae in hagfish, their single semicircular canal is an evolutionary rudiment of two that have coalesced (10, 13, 64).

36. Vertical semicircular canals forming distinct loops, well-separated from the saccular part of the labyrinth (absent = 0, present = 1).
37. Three macular partitions (absent = 0, present = 1).
38. Statoliths composed of calcium phosphate (absent = 0, present = 1).
39. Hair cells in the inner ear with cupulae (absent = 0, present = 1).
40. Trigeminal nerve (absent = 0, present = 1).
41. Trigeminal motor nucleus in rhombomere 2 and/or rhombomere 3 (absent = 0, present = 1).
42. Trigeminal ramification limited to the profundal, external, and velobuccal branches (absent = 0, present = 1).
43. Ventral ophthalmic projections in the trigeminal sensory nucleus (absent = 0, present = 1).
44. Mesencephalic trigeminal nucleus (absent = 0, present = 1).
45. Trigemino-spinal projections (absent = 0, present = 1).
46. Fusion of the profundal nerve ganglion with the trigeminal ganglion (absent = 0, present = 1).
47. Superficial ophthalmic trigeminal ramus (absent = 0, present = 1).
48. Fusion of the maxillary trigeminal ramus with the buccal ramus of the anterolateral lateral line nerve (absent = 0, present = 1).
49. Facial nerve (absent = 0, present = 1).
50. Division of the facial nerve into pharyngeal, pre-, and posttrematic branches (absent = 0, present = 1).
51. Distinct facial, glossopharyngeal, and vagal nuclei (absent = 0, present = 1).
52. Hypodermal and dermal nerve plexi (absent = 0, present = 1).
53. More than one postotic cranial nerve (absent = 0, present = 1).
54. Viscero-sensory nerves (absent = 0, present = 1).
55. Periventricular viscerosensory rhombencephalic zone (absent = 0, present = 1).
56. Glossopharyngeal nerve (absent = 0, present = 1).
57. Single glossopharyngeal ganglion (absent = 0, present = 1).
58. Vagal nerve (absent = 0, present = 1).
59. Division of vagal nerve into pharyngeal, pre-, and posttrematic branches (absent = 0, present = 1).
60. Cardiac innervation (absent = 0, present = 1).
61. Oculomotor system (absent = 0, present = 1). This character is contingent on the presence of eyes and is, therefore, inapplicable to the outgroup.
62. Abducent nerve with retractor bulbi innervation (absent = 0, present = 1).
63. Caudal (rhombomeres 5–7) localization of abducent motoneurons (absent = 0, present = 1).
64. Ventral trochlear nucleus (absent = 0, present = 1).
65. Ciliary ganglion (absent = 0, present = 1).
66. Extracranial ciliary ganglion (absent = 0, present = 1).
67. Hypobranchial nerve (absent = 0, present = 1).
68. Ventral branch of spinal ganglionated nerves contributes to the hypobranchial nerve (absent = 0, present = 1).
69. Hypobranchial nerve formed by the fusion of the ventral branches of intracranial nonganglionated postvagal nerves and the ventral branches of spinal ganglionated nerves (absent = 0, present = 1).
70. Cerebellar primordia (absent = 0, present = 1).
71. Corpus cerebelli (absent = 0, present = 1).
72. Hypothalamus (absent = 0, present = 1).
73. Multiple hypothalamic nuclei (absent = 0, present = 1).
74. gonadotropin-releasing hormone (GnRH)-positive cell groups in the caudal diencephalon or the mesencephalon (absent = 0, present = 1).
75. Hypophysis (absent = 0, present = 1).
76. Adenohypophysis: develops from a combined nasohypophyseal placode = 1. This character is inapplicable to *Branchiostoma* and *Ciona*, because they lack a hypophysis.
77. Adenohypophysis differentiated in a pars intermedia and a pars distalis (absent = 0, present = 1).
78. Median eminence (absent = 0, present = 1).
79. Serotonergic median raphe (absent = 0, present = 1).
80. Superficial isthmic nucleus (absent = 0, present = 1).
81. Preoptic area with magno- and parvocellular parts (absent = 0, present = 1).
82. Tectum (absent = 0, present = 1).
83. Less than five tectal laminae (absent = 0, present = 1).
84. Thalamus (absent = 0, present = 1).
85. Dorsal and ventral thalami (absent = 0, present = 1).
86. Overlap of the areas with tectal and retinal projections in the dorsal thalamus (absent = 0, present = 1).
87. Periventricular thalamus (absent = 0, present = 1).
88. Protrusion of the dorsal thalamus into the third ventricle (absent = 0, present = 1).
89. Tuberculo-pallial tracts (absent = 0, present = 1).
90. Saccus vasculosus (absent = 0, present = 1).
91. Pretectum (absent = 0, present = 1).
92. Neuronal migration in the pretectum (absent = 0, present = 1).
93. Epithalamus (absent = 0, present = 1).
94. Paraphysis (absent = 0, present = 1).
95. Extraocular photoreceptor region expressing the pineal opsins (absent = 0, present = 1).
96. Telencephalon (absent = 0, present = 1).
97. Telencephalic evagination including the medial pallium (absent = 0, present = 1).
98. Evaginated telencephalon (absent = 0, present = 1).
99. Extensive median septum ependyma (absent = 0, present = 1).
100. Subpallium (absent = 0, present = 1).
101. Subpallial septum expressing acetylcholinesterase (absent = 0, present = 1).
102. Subpallial striatum in contact with the septum (absent = 0, present = 1).
103. Pallium (absent = 0, present = 1).
104. Pallium with mainly migrated neurons (absent = 0, present = 1).
105. Migrated pallium with three subdivisions (absent = 0, present = 1).
106. Partial pallial olfactory projections (absent = 0, present = 1).
107. Olfactory projections restricted to the lateral pallia (absent = 0, present = 1).
108. Spinal cord with spinal nerves and spinal nerve roots (absent = 0, present = 1).
109. Dorsal and ventral roots of the spinal nerves (remain unjoined = 0, join outside the nerve cord = 1). *Ciona* lacks homologs of vertebrate spinal nerves (65), rendering the character inapplicable to this taxon.
110. Ribbon-shaped spinal cord (absent = 0, present = 1).
111. Blood supply in the spinal cord (absent = 0, present = 1).
112. Regularly decreasing spinal cord diameter along the anteroposterior axis (absent = 0, present = 1). Khonsari et al. (1) provided no outgroup coding for this character; this condition obtained in *Branchiostoma* but not in *Ciona*.
113. Dorsal and ventral roots of spinal nerves on the same side of the intersegmental artery (absent = 0, present = 1).
114. Rohon-Béard cells (absent = 0, present = 1). No data are available for tunicates.
115. Lemnothalamic tracts (absent = 0, present = 1). *Branchiostoma* and *Ciona* lack a thalamus (character 83), and therefore, this character is inapplicable for these taxa.
116. Müller cells (absent = 0, present = 1).
117. Mauthner cells (absent = 0, present = 1). This character was incorrectly coded by Khonsari et al. (1), who coded presence for the invertebrate chordates and absence in vertebrates. Mauthner cells are absent from invertebrate

chordates and hagfish but present in lampreys and gnathostomes (66).

118. Mauthner cell axons ensheathed in an isolated fiber (absent = 0, present = 1).
119. Dorsal arcualia (absent = 0, present = 1). Ota and Kuratani (64) have provided a preliminary report of the presence of vertebrae in the embryos of hagfishes.
120. Ventral arcualia (absent = 0, present = 1).
121. Choroid plexi (absent = 0, present = 1).
122. Reissner's fiber (absent = 0, present = 1).
123. Oligodendrocytes (absent = 0, present = 1).
124. Astroglia (absent = 0, present = 1).
125. Anastomotic capillary network in the brain (absent = 0, present = 1).

Additional Characters Augmenting Khonsari et al. (1)

Feeding and Respiration.

126. Pouch-shaped gills (absent = 0, present = 1).
127. Elongate branchial series (more than 10 gill pouches/slits = 0, fewer than 10 = 1).
128. Opercular flaps associated with gill openings (absent = 0, present = 1).
129. Endodermal gill lamellae (absent = 0, present = 1).
130. Gill lamellae with filaments (absent = 0, present = 1).
131. Velum (absent = 0, present = 1).
132. Distinct stomach within digestive tract (absent = 0, present = 1).

Circulatory System.

133. Relative position of atrium and ventricle of heart (well-separated = 0, close to each other = 1). This character is inapplicable to the outgroup, because they lack structures that can be compared with either the atrium or ventricle of vertebrate hearts (67, 68).
134. Closed pericardium (absent = 0, present = 1). This character is inapplicable to Branchiostoma, because it lacks a distinct heart and therefore, lacks a distinct pericardial region that may be compared with the pericardium of vertebrates (67). However, Ciona is interpreted to possess a direct homolog of the vertebrate heart, and this is enclosed in a discrete pericardial cavity (67).
135. Open blood system (absent = 0, present = 1).
136. Paired dorsal aortae (absent = 0, present = 1).
137. Large lateral head vein (absent = 0, present = 1).
138. Pulmonary vein (absent = 0, present = 1).
139. Lymphocyte-based recombinatorial system of anticipatory immunity (absent = 0, present = 1).
140. Lymphocytes with variable lymphocyte receptor (VLR) antigen receptors = 0, true lymphocytes with T and B antigen receptors = 1. Lampreys and hagfishes have conventionally been coded as possessing and lacking true lymphocytes, respectively (3–5). In fact, both lampreys and hagfish possess lymphocyte-like cells (69, 70), but these do not possess the diverse repertoire of T and B antigen receptors characteristic of the true lymphocytes, which are restricted to living jawed vertebrates (71).

Fins and Fin-Folds.

141. Dorsal fin: separate dorsal fin (absent = 0, present = 1).
142. Anal fin separate (absent = 0, present = 1).
143. Fin ray supports (absent = 0, present = 1).
144. Radial muscles (absent = 0, present = 1).
145. Pectoral fins (absent = 0, present = 1).
146. Pelvic fins (absent = 0, present = 1).

147. Monobasal paired fins (absent = 0, present = 1). This character is inapplicable to taxa that lack paired fins and also salamander.
148. Tail shape (no distinct lobes developed = 0, ventral lobe much larger than dorsal = 1, dorsal lobe much larger than ventral = 2, dorsal and ventral lobes almost equally developed = 3).
149. Chordal disposition relative to tail development (isochordal = 0, hypochordal = 1, hyperchordal = 2). Hagfish are conventionally coded as isochordal, (3). However, Janvier (72) has shown that they are hypochordal.

Skeletal.

150. Ability to synthesize creatine phosphatase (absent = 0, present = 1).
151. Visceral arches fused to the neurocranium (absent = 0, present = 1).
152. Relative position of the pharyngeal skeleton to the gills and associated vasculature (lateral = 0, medial = 1). This character has been proposed as a general feature or synapomorphy of cyclostomes by numerous authors (8, 12, 13, 18). However, it may be a primitive character for chordates (73, 74), if not deuterostomes.
153. Keratinous teeth (absent = 0, present = 1).
154. Trematic rings (absent = 0, present = 1).
155. Piston cartilage and apical plate (absent = 0, present = 1).
156. Midline retractor muscle, dorsal to piston cartilage, and paired retractor muscles (absent = 0, present = 1).
157. Longitudinally aligned tooth rows providing transverse bite (absent = 0, present = 1).
158. Jaws (absent = 0, present = 1).
159. Braincase with lateral walls (absent = 0, present = 1).
160. Neurocranium entirely closed dorsally and covering the brain (absent = 0, present = 1).
161. Occiput enclosing vagus and glossopharyngeal. Enclosure of cranial nerves IX and X and glossopharyngeal and vagus nerves (absent = 0, present = 1).
162. Perichondral bone (absent = 0, present = 1).
163. Calcified cartilage (absent = 0, present = 1). The report of calcified cartilage in a lamprey is unconvincing given that it is based solely on the observation that the cartilage attenuates X-rays (75).
164. Cellular dermal bone (absent = 0, present = 1).
165. Dentine (absent = 0, present = 1).
166. Enamel/oid (absent = 0, present = 1).
167. Sclerotic ossicles (absent = 0, present = 1). Using information reported by de Beer (74) and Franz-Odenaal and Hall (76), the condition in *Ambystoma* is unknown, but de Beer (74) records only one species of salamander with sclerotic ossicles.
168. Ossified endoskeletal sclera encapsulating the eye (absent = 0, present = 1). Using information reported by de Beer (74) and Franz-Odenaal and Hall (76), the condition in *Ambystoma* is unknown.

Physiological.

169. Hemoglobins (exist as monomers when oxygenated and form complex dimeric or tetrameric aggregates when deoxygenated = 0, exist as stable tetramers = 1). It has been argued that oxygen transport is a role to which globins were co-opted during the transition to multicellularity (77), and therefore, it should be possible to polarize the character states encountered in hagfish, lampreys, and gnathostomes. However, Hoffmann et al. (36) have recently argued that cyclostomes and gnathostomes have co-opted distinct phylogenetically

- globins to the role of oxygen transport. Structural and functional data are lacking for invertebrate chordate globins, although hemoglobins are known from *Branchiostoma* (34).
170. Heart response to catecholamines (absent = 0, present = 1). Hagfish have traditionally been coded absent for heart response to catecholamines, although it has long been established that a variety of catecholamines have a significant effect on the heart rate of the multifarious hearts of hagfishes (78–80). Tunicates and amphioxus have a cardiac pump that is in some sense homologous to vertebrate heart (67, 68), and one of these in *Branchiostoma*, the endostyle, is associated with catecholamine-containing cells. However, it has not been shown that there is a cardiovascular response to catecholamines in either clade of invertebrate chordates, and thus, they are coded as unknown. No data are available for *Latimeria* and *Polypterus*.
171. High blood pressure (absent = 0, present = 1).
172. Hyperosmoregulation (absent = 0, present = 1). Hagfishes, like the outgroup, are essentially isoosmotic with seawater, whereas lampreys and gnathostomes are hyperosmotic. Schaeffer and Thompson (10) argued that lampreys and gnathostomes achieved this condition by different means, but, whereas gnathostomes (primitively, at least) emphasize urea synthesis and retention, lampreys seem to adopt the same gill-based mechanisms of osmoregulation as marine teleosts (81). That said, these same mechanisms are apparent in the mitochondria-rich cells in the gill epithelia of hagfishes (82), although they remain incapable of establishing an ionic gradient across their gills (81).
173. Pituitary control of gametogenesis (absent = 0, present = 1). In contrast to lampreys and gnathostomes, hagfish have previously been coded as lacking pituitary control of gametogenesis (3–5, 12), but for some time, circumstantial evidence has indicated that this view is incorrect. Specifically, it had been shown that gonadotrophins are expressed not only in the pituitary of hagfishes with developing gonads (83–85) but also in their gonads and that the glycoprotein hormone synthesized in the hagfish adenohypophysis is capable of inducing sex steroids in vitro (86). This character is contingent on character 75 (hypophysis), and therefore, it is coded as inapplicable for *Branchiostoma* and *Ciona*. Attempts have also been made to draw a distinction between lampreys and gnathostomes vs. hagfish on the grounds that (i) hagfish show no evidence of pituitary control of melanophores, because hagfishes apparently show no color change (12)—although this is based on a paucity of data on their reproductive biology and regardless, the hagfish pituitary contains proopiomelanocortin-like secreting cells (87) (the joint precursor protein of melanotropins and corticotropin in gnathostomes not found in lampreys)—and (ii) gonadal secretions responsible for secondary sexual characteristics (12) assume that there are no secondary sexual differences in hagfishes, a view that may be incorrect (41). In *Branchiostoma*, there is evidence of gonadotropin-based hormonal control of gametogenesis (88), and Candiani et al. (89) have argued for homology between the adenohypophysis of vertebrates and Hatschek's Pit in *Branchiostoma*; however, no link has yet been made between the Hatschek's Pit and gametogenesis. In *Ciona*, it has been shown that gonadotropin receptors are expressed in the neural gland (90), but no evidence has been presented linking their activity to gametogenesis.
174. Ion transport in gills (absent = 0, present = 1). Hagfishes have invariably been coded as lacking ion transport in gills (3–5), and it is true that they do not establish an ionic gradient across their gills (81). However, ion transport does occur, and its presence is now well-documented in both *Myxine glutinosa* (91, 92) and *Eptatretus stouti* (82). Furthermore, although hagfish cannot regulate their osmolality, they can regulate the ionic concentration of Ca and Mg ions (93). There are no data for *Branchiostoma* or *Ciona*, and although it is likely that they are iso-osmotic with seawater, as with hagfish, this does not preclude the possibility that their gills are incapable of ion transport. There are few data on ionoregulation in *Latimeria* (94), *Polypterus*, and lungfishes (95). However, both *Polypterus* and lungfishes are freshwater species, and therefore, their gills must be capable of ionoregulation.

Miscellaneous.

175. Spleen (absent = 0, present = 1). Neither hagfish nor lampreys possess what might be considered a discrete and condensed spleen. Hagfish possess dispersed lymphoid tissue within the submucosa of the intestine (96) associated with the portal vein (97), whereas lymphoid tissue is associated with the typhlosole portion of the intestine in lampreys (96). Amemiya et al. (98) have called into question the traditional histological similarities between the lympho-hematopoietic structures in lampreys and gnathostomes, given that they are now known to possess distinct adaptive immune systems.
176. Collecting tubules in kidneys (absent = 0, present = 1).
177. Separate endocrine and exocrine pancreas (absent = 0, present = 1). Hagfishes and lampreys are unique in the complete separation of their endocrine pancreas (islet organ) and their exocrine pancreas (50). The endocrine and exocrine pancreas are coassociated in crown gnathostomes (50). In *Branchiostoma* and *Ciona*, there is no diverticulum as there is in hagfishes, lampreys, and gnathostomes, only dispersed insulin-secreting cells in the walls of the gastrointestinal tract (51, 52).
178. A cells (absent = 0, present = 1). Lampreys were coded as possessing pancreatic A cells in their endocrine pancreas in a number of previous cladistic analyses (3–5). However, both lampreys and hagfishes lack A cells, which are exclusive to living jawed vertebrates (50, 99).
179. B cells (absent = 0, present = 1). B cells are found in the endocrine pancreas of all vertebrates (50, 99).
180. Corpus luteum (absent = 0, present = 1). The corpus luteum is a transient endocrine gland that develops from the postovulatory or atretic follicles and secretes progesterone; it is thought to be related to egg retention. A corpus luteum is known in hagfish (100), *Squalus* (101), and salamander (100) but not lamprey (102). There are no data for *Branchiostoma* and *Ciona*, and given that they are multiple spawners, there is no a priori reason to conclude that they lack a corpus luteum; thus, they are coded as unknown.
181. Male gametes shed directly through the coelom (absent = 0, present = 1). In both *Branchiostoma* and *Ciona*, the gametes are shed into the atrium (which has no homologs in vertebrates) rather than into the coelom (103). Thus, there is no a priori justification for interpreting this character as general to craniates/vertebrates or *contra* (13, 19).
182. Forward migration of postotic myomeres (absent = 0, present = 1).
183. Larval phase (absent = 0, present = 1).
184. Horizontal septum in trunk myomeres (absent = 0, present = 1).

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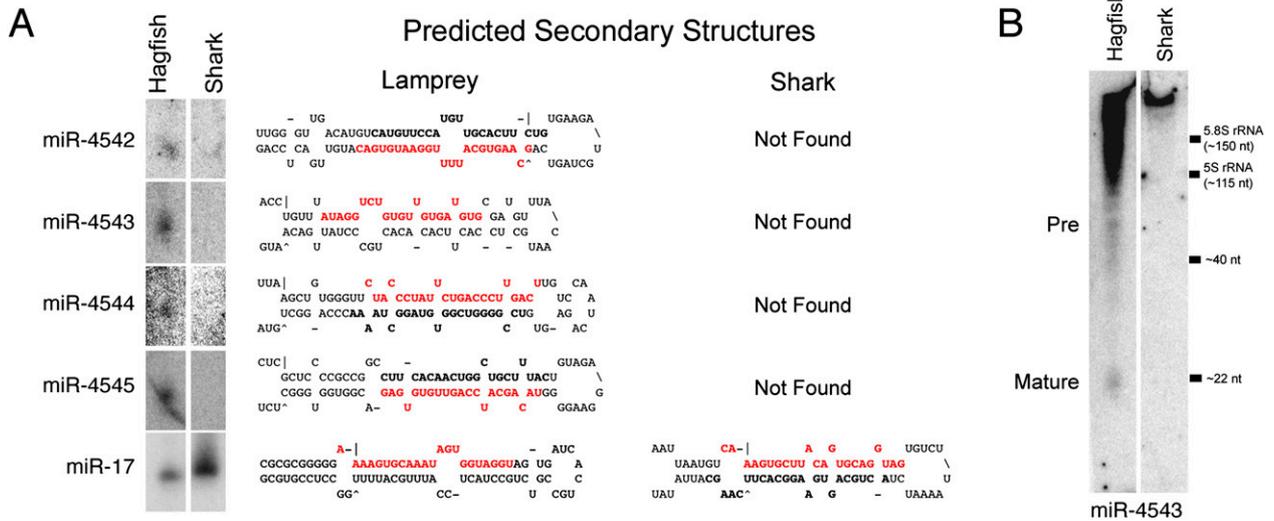


Fig. S1. Northern detection and bioinformatic results of the four cyclostome microRNAs (miRNAs) in hagfish, lamprey, and shark. (A) Detection of the four cyclostome miRNAs in lamprey, hagfish lamprey, and hagfish, but not sharks. All four miRNAs are detected in Atlantic hagfish *M. glutinosa* total RNA preparations, and all are found in the genomic traces of the sea lamprey *Petromyzon marinus* (in addition to being present in total RNA preparations of both lamprey species) (Dataset S1); however, none of them are detected in total RNA preparations from the catshark *Scyliorhinus canicula* or found in the genomic traces of the elephant shark *Callorhynchus milii*. In contrast, a Northern analysis against the vertebrate-specific miRNA, miR-17, is both detected by Northern analysis and found in the genomic traces of cyclostomes and sharks. The mature sequence detected in our miRNA libraries (Dataset S1) is shown in red; star sequences are shown in bold. (B) Detection of the mature sequence of miR-4543 as a ~22mer and the presequence as a ~70mer in the Atlantic hagfish *M. glutinosa*. Note that neither are detected in a total RNA preparation from the catshark *S. canicula*.

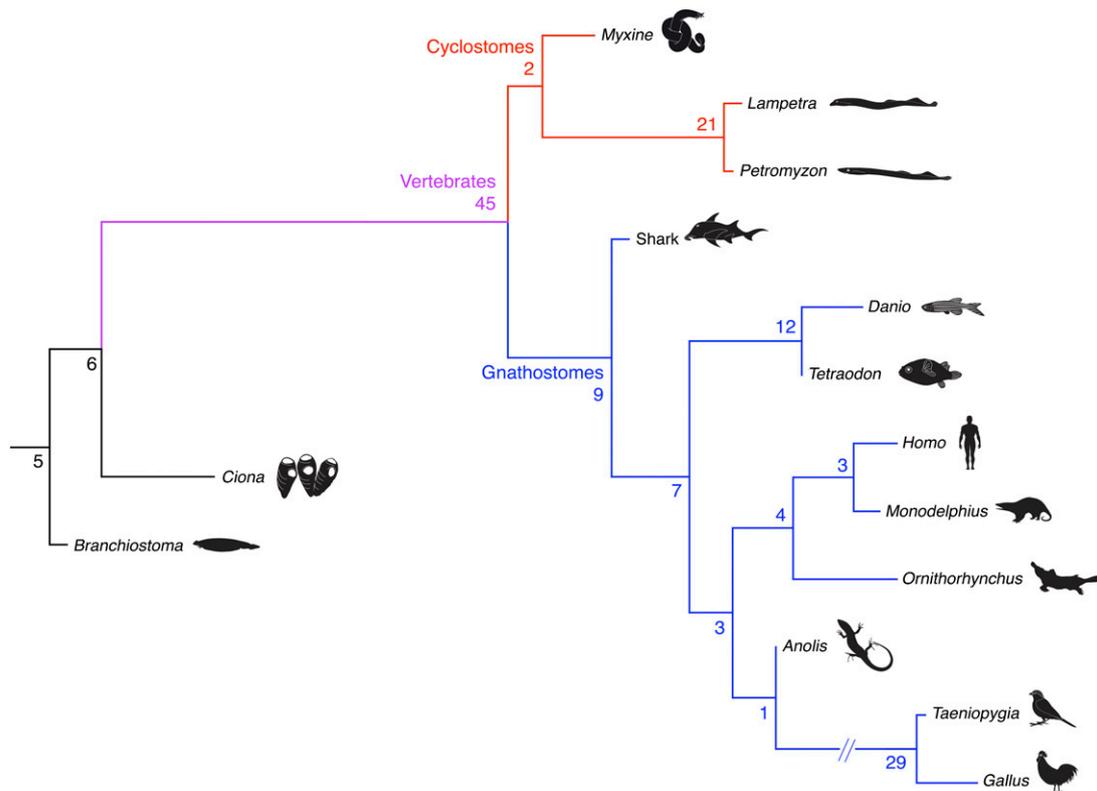


Fig. S2. Maximum parsimony analysis of miRNA families in all chordate taxa investigated (complete matrix in Dataset S2). Numbers at each of the nodes represent the Bremer Support Indexes. Note that branch lengths are scaled according to the number of changes that have occurred (Fig. 2), but the branch leading to birds has been artificially shortened. Note the monophyly of Cyclostomata (red) and the recovery of all known clades including gnathostomes (blue) and vertebrates (magenta).

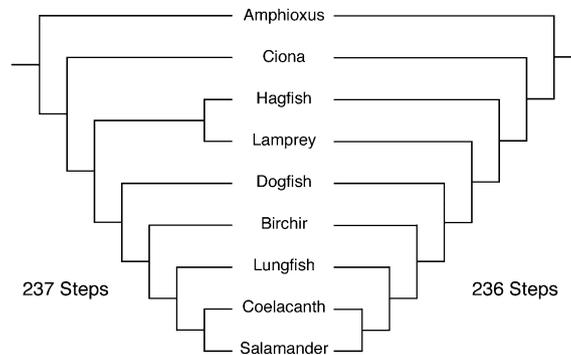


Fig. S3. Maximum parsimony analysis of the morphological data matrix (Dataset S3). The tree supporting cyclostome monophyly (left side) is only one step longer than the shortest tree that supports cyclostome paraphyly (right side).

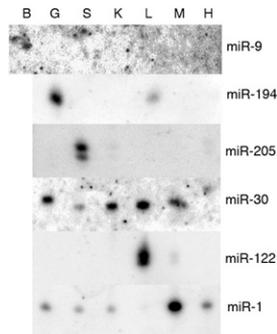


Fig. S4. Northern detection of miRNAs in Atlantic hagfish *M. glutinosa*. The highest expressed miRNA from each lamprey organ (Fig. 4 and Dataset S4) is also expressed in the same organ of the hagfish. B, brain; G, gut; S, skin; K, kidney; L, liver; M, muscle; H, heart.

Dataset S1. Expression levels (number of copies sequenced) and alignments of all sequences identified in this study, including secondary structures of all genes identified in the genomic traces of the lamprey *P. marinus*

[Dataset S1 \(XLS\)](#)

Dataset S2. miRNA matrix for parsimony analysis (nexus file format)

[Dataset S2 \(TXT\)](#)

Dataset S3. Morphology matrix for parsimony analysis (nexus file format)

[Dataset S3 \(TXT\)](#)

Dataset S4. Number of mature miRNA reads from *Lampetra planeri* larva and *P. marinus* adult tissues and organs sorted by expression level

[Dataset S4 \(XLS\)](#)